Model-Driven Software Migration into Service-Oriented Architectures

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Received: date / Accepted: date

Abstract This paper proposes model-driven techniques to extend IBM's SOMA method towards migrating legacy systems into Service-Oriented Architectures (SOA). The proposal explores how graph-based querying and transformation techniques enable the integration of legacy assets into a new SOA and how these techniques can be integrated into the overall migration process. The presented approach is applied to the identification and migration of services in an open source Java software system.

Keywords Software migration · Reengineering · Model-Driven Software Development · Service-Oriented Architecture

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1 Introduction

Today, almost every company runs systems that have been implemented a long time ago. These systems, and even those that have been developed in the last years, are still under adaptation and maintenance to address current needs. Adapting legacy software systems to new requirements often needs to make use of new technological advances. Business value of existing systems can only be preserved by transferring legacy systems into new technological surroundings. Migrating legacy systems, i. e. transferring software systems to a new environment without changing the functionality (Sneed et al 2010), enables already proven applications to stay on stream instead of passing away after some suspensive servicing (Rajlich and Bennett 2000).

A technological advance promising better reusability of software assets in new application areas is provided by *Service-Oriented Architectures (SOA)*. SOA is viewed as an abstract, business-driven approach decomposing software into loosely-coupled *services* enabling the reuse of existing software assets for rapidly changing business needs (Gold et al 2004). A service is viewed as an encapsulated, reusable and business-aligned asset with a well-defined *service specification* providing an interface description of the requested functionality. The service specification is implemented by a service component which is realized by a *service provider*. Its functionality is used by *service consumers* (Arsanjani et al 2008).

Migrating legacy systems to services enables both, the reuse of already established and proven software components and the integration with new services, including their orchestration to support changing business needs. In order to gain most benefit from a migration, a comprehensive approach supporting the migration process and enabling the reuse of legacy code is required. The work presented here is part of the SOAMIG¹ project, which addresses the semiautomatic migration of legacy software systems to Service-Oriented Architectures, based on model-driven techniques and code transformation.

Software development and maintenance projects require a clearly defined methodology. In contrast to cold-turkey approaches, Chicken Little (Brodie and Stonebraker 1995) provides an incremental approach towards migrating complete systems. Another general migration approach targeting on outsourcing migration projects is given by the reengineering factory (Borchers 1997). Data migration is supported by the butterfly approach (Wu et al 1997) and the SMART-approach (Lewis and Smith 2008) assist in planing migration projects. For a comprehensive overview about migration strategies, see (Sneed et al 2010). The ReMiP (Reference Migration Process) provides a generic process model for software migration (Sneed et al 2010). Major activities in all software migration processes dealing with legacy code include legacy analysis and legacy conversion. Legacy analysis aims at understanding legacy systems and identifying software assets worth to be transferred into the new environment. Legacy conversion supports the technical migration of legacy assets by wrapping or transformation. In addition, a strategy to design the target architecture is needed.

In architecture migration projects, target architectures try to support most characteristics of new architectural paradigms. But economic migration requires converting of most of the legacy system with low effort. Thus, reasonable target architectures between optimal architectures for new systems and best reuse of legacy assets have to be defined incrementally (Zillmann et al 2010).

This paper focuses on applying model-driven techniques for migrating legacy assets to SOAs. Various strategies to design SOAs exist (Thomas et al 2010). According to Martin (2009), one of the best-known methods is IBM's *SOMA method*. Service-Oriented Modeling and Architecture (SOMA) provides a process model for SOA development and evolution, which serves here as a methodological framework to identify and extend migration activities and their technological support. It includes seven incremental and iterative phases for identifying, specifying and implementing services (Arsanjani et al 2008). In the first place, SOMA is designed to develop SOAs from scratch and does not provide direct support for integrating legacy assets.

The extension of SOMA towards migration, presented here, is based on model-driven strategies. Models represent different views on software systems including business process models, software architecture and programming code (Winter and Ziemann 2007). Legacy analysis and conversion is based on queries and transformations on these models. In



Fig. 1 Goal of this paper: composition of services in the target SOA design

this paper, the *TGraph approach* (Ebert et al 2008) is applied as one possible model-driven technology. By migrating exemplary services in the open source software GanttProject (GanttProject 2009), it will be shown how SOMA can be extended by model-driven technologies to provide a comprehensive methodology to SOA development, including a broad reuse of legacy code assets.

This paper complements Fuhr et al (2010b), which sketched the initial graph-based approach for extracting and transforming a single service, to a capacious approach to migrate legacy systems to SOA systems. The application of graph-based reengineering and migration techniques to SOA migration is explained by identifying and transforming three exemplary services in the open source software GanttProject (GanttProject 2009). Based on one example business process, it will be described

- how TGraph technology is applied to represent and analyze legacy code supporting service identification and realization decisions,
- how SOMA is applied to specify and design services and
- how TGraph technology is applied to transfer legacy code into a service implementation.

Figure 1 shows the service-oriented target architecture, modeling the composition of a service that provides capabilities to *manage project resources*. The composite service uses two atomic services to provide its functionality to the service consumer. In the remaining paper it will be described how to identify these three services and how to implement them by reusing legacy code (Fuhr 2009).

The remaining paper is organized as follows: Section 2 describes the SOMA method in more detail and motivates where SOMA has to be extended by model-driven reengineering techniques. Section 3 describes the TGraph technology to provide legacy analysis and legacy conversion and Section 4 introduces tools supporting the approach. In Sec-

¹ This work is partially funded by the German Ministry of Education and Research (BMBF) grant 01IS09017C/D. See http://www.soamig.de for further information.



Fig. 2 The seven SOMA phases

tion 5 the integrated method is applied to identify, to specify, to realize and to implement three services by reusing the GanttProject legacy code. Section 6 contrasts the integrated SOA migration approach presented here with current work in model-driven software analysis and migration. Finally, Section 7 summarizes and reflects the obtained results.

2 Service-Oriented Modeling and Architecture (SOMA) and Software Migration

SOMA (Arsanjani et al 2008) is an iterative and incremental method to design and implement service-oriented systems, developed by IBM and still under research (latest published version: 2.4). SOMA describes how to plan, to design, to implement and to deploy SOA systems. SOMA is designed extensible to be able to include additional, specialized techniques supporting specific project needs. In the following, the seven SOMA phases shown in Figure 2 are introduced briefly. Section 2.1 then highlights where SOMA must be extended to support model-driven migration projects.

During **Business Modeling**, the state of a company is analyzed at the beginning of a project. As SOAs are tightly aligned to business concerns, it is necessary to clearly understand the customer's business. In this phase, all possible information about the following concerns is gathered:

- Business vision
- Business actors, use cases and processes
- Business goals and key performance indicators (KPIs)

One main result of this phase is the *business model* which is a formalized view on these aspects.

Solution Management adapts the SOMA method to the project needs. This includes choosing additional techniques

to solve project-specific problems. From a SOMA perspective, this paper is located in Solution Management since it adapts SOMA to software migration issues, using model driven technologies.

During Service Identification, SOMA uses three complementary techniques to identify service candidates, i.e. functionality that may be implemented as service later in the new architecture. Domain Decomposition is a top-down method decomposing the business domain into functional areas and analyzing the business processes to identify service candidates. Goal-Service Modeling identifies service candidates by exploring the business goals and subgoals. Legacy Asset Analysis finally explores the functionality of legacy systems bottom-up. It is analyzed, which business processes are supported by what functionality of a legacy system. For that purpose, documentation, APIs or interfaces are explored to identify which functionality is provided. The source code is only analyzed on a coarse-grained level, meaning it is analyzed which functionality exists and not how it is actually implemented. For each business functionality supporting a business process, a service candidate is created. All three techniques are performed incrementally and iteratively. For each identified candidate, an initial service specification is created and a trace to the source of identification is established.

Service Specification deals with describing the service design in detail. The initial service specification is refined, messages and message flows are designed and services are composed. This phase results in a comprehensive description of the service design. SOMA uses an UML profile for Service-Oriented Architectures to describe the service design. Later, the specification will be transformed into WSDL code for implementing the service as a Web Service (as it is proposed by SOMA).

Service Realization decides which services will be implemented in the current iteration and constitutes how to implement them. First, a *Service Litmus Test (SLT)* is executed to identify service candidates that should be exposed. The SLT is a set of criteria to evaluate usefulness and value of each service. After having chosen a set of services, the implementation strategy has to be defined. Encapsulation of services allows the choice of different ways to implement each service. Common strategies to form new service components are

- 1. implementation from scratch,
- 2. wrapping of larger legacy components or
- 3. transforming the required legacy components.

After having decided on an implementation technique, legacy systems require fine-grained analysis. Functionality that is able to implement services has to be identified in the legacy code. In addition, it is important to clearly understand how this functionality is embedded in the legacy system, since it has to be separated to build a self-contained service. Finally, the implementation design specifying how to implement the service, is created. In addition, patterns are used to create a framework which is able to integrate the service implementation into the service design.

During the **Service Implementation** phase, services are actually implemented. According to the decisions derived in the Service Realization phase, services are developed, wrappers are written, or legacy code is transformed. Finally, all services are orchestrated and message flows are established.

The last phase is **Service Deployment**. It deals with exposing the services to the customer's environment. Final user-acceptance tests are performed and the SOA is monitored to verify that it performs as expected.

2.1 Extending SOMA for Model-driven Migration

Although being suited for SOA development projects, the SOMA method lacks of direct support for migrating legacy software towards SOAs. In the following, four extension points and their relevance to SOA migration projects are defined, where SOMA must be leveraged in order to support SOA migration projects.

Extending service identification. Service Identification is one of the core phases in SOA development as well as in SOA migration projects. As migration projects aim at preserving functionality without adding new features, identifying the functionality a legacy system provides is very important. Therefore, techniques to identify functionality in legacy code are required. SOMA does not describe how to analyze legacy systems. At this point, additional methods and techniques must be included. In Section 5.3, we extend SOMA by a model-driven technique to reverse-engineer legacy code into an appropriate TGraph, which enables queries and transformations to identify service candidates.

Extending service specification. In plain development projects, Service Specification is a straight forward engineering phase. In migration projects, Service Specification combines forward engineering (design of the target architecture and orchestration of services) with reverse-engineering tasks (derive service operations and message design from legacy code). Therefore, techniques to support the forward design by analyzing legacy systems are needed. Service Specification describes the service in detail. To gather the information needed for the design, messages and message parameters can be derived from legacy code. We extend SOMA to identify useful legacy code in Section 5.4.

Extending service realization. In SOA Migration projects, it is important to identify which of the services can actually be implemented by reusing legacy code and *how* the code can be reused. In SOMA, legacy functions usually are *wrapped* and then exposed as services. This has several drawbacks. The legacy system must still be maintained

and in addition, the wrapper must be created and maintained later, too. A different approach is to *transform* legacy functionality into a service implementation. However, code may not be suited to form a self-contained and loosely-coupled service and might therefore require a re-implementation of the functionality (Nasr et al 2010). SOMA does not describe how to implement services by reusing legacy code. In Section 5.5, a static and a dynamic approach are presented to analyze legacy systems fine-grained in order to understand the implementation of legacy functionality.

Extending service implementation. In migration projects, service implementation is influenced by the choice of migration strategy (re-implementation, wrapping of transformation). In contrast to implementation in development projects, this phase focuses more on reusing existing code. Therefore, techniques are needed to extract legacy code and to make it available to the target implementation. SOMA does not include techniques to transform legacy code into services. In Section 5.6 it is demonstrated how graph transformations are used to transform legacy code into service implementations.

This concludes the description of the SOMA method. The next section introduces the TGraph approach that is used as technological foundation of our extensions to SOMA. In Section 4, the integration of the TGraph approach into the overall migration tools environment is described and in Section 5, the extended SOMA method is then applied to the migration of GanttProject.

3 Model-Driven Migration: The TGraph Approach

The extension of SOMA towards migration, presented in this paper, is based on model-driven strategies. Models (including code) represent different views on software systems including business process models, software architecture and program source code. In particular, migrating legacy systems to SOA requires an integrated view on business processes, architecture and code (Winter and Ziemann 2007) to be able to identify and extract services. Therefore, an integrated representation of all these artifacts is essential. Model-driven approaches provide these technologies:

- 1. formal definition of valid models (metamodels),
- 2. querying of models (query languages) and
- 3. transformation of models (transformation languages).

Today, many model-driven approaches are known. Metamodels can be described by using the OMG's *Meta Object Facility* (MOF (OMG 2006)) or INRIA's KM3 (Eclipse 2007). Well-known transformation languages include QVT (Query/View/Transformation (OMG 2007)) or ATL (Atlas Transformation Language (ATLAS Group 2009)). All these approaches are suited for extending SOMA. However, in this paper, a graph-based approach is used that has already been applied in various reverse- and reengineering projects (Ebert et al 2008). The *TGraph approach* is a seamless graphbased approach. Models are represented by graphs conforming to a *graph schema* (a metamodel). They can be queried with the graph query language *GReQL* (Graph Repository Querying Language (Bildhauer and Ebert 2008)) and can be transformed using *GReTL* (Graph Repository Transformation Language (Ebert and Horn [To appear])).

Following the three model-driven technologies mentioned above (metamodels, query languages and transformation languages) their realization in the TGraph approach is described in the following subsections. Section 3.1 describes the kind of graphs used in the TGraph approach, including a short overview about the metamodeling foundations. Section 3.2 gives an introduction to querying TGraphs with GReQL and Section 3.3 depicts the GReTL transformation language.

3.1 Metamodeling: TGraphs and Metamodels

Migration projects require the exploration of various sources like business processes, legacy architecture or legacy code. For integrated analyses, it is necessary to store all data in an integrated model, the repository. Metamodeling deals with describing the structure of this repository.

The presented approach uses TGraphs for storing artifacts. A *TGraph* is a directed graph where all nodes and edges are typed and may contain attributes. Additionally, edges and nodes are ordered globally and all incident edges of a node have a local order. Edges are first class citizens, so the navigability is always bidirectional and does not depend on the edge's direction. This also enables reasoning on edges directly. In sparse graphs, which usually occur in code and model representations, this also provides more efficient graph traversal, compared to approaches considering edges as tuples of start and end node.

The graph library *JGraLab* (*Java Graph Laboratory*²) provides a convenient and efficient API for accessing and manipulating TGraphs.

Each TGraph is an instance of a *TGraph schema*. In a model-driven sense, a TGraph schema is a metamodel for a class of TGraphs and defines edge and node types, including their attributes. Additionally, both node as well as edge types can specialize other node and edge types and multiple inheritance is supported. Such schemas are specified by using a UML profile called *grUML (Graph UML)*, a toolready subset of CMOF slightly more expressive than EMOF (Bildhauer et al 2009). In grUML diagrams, node and edge types and their attributes are specified with UML. Classes



Fig. 3 Small extract of the Java metamodel

are used to define node types and associations (or association classes) are used to define edges. GrUML schemas can be created with basically any UML editor. There are tools to convert such schemas from XMI to the internal format of JGraLab. Given a TGraph schema, JGraLab generates Java source code for an object-oriented API for accessing and modifying TGraphs conforming to that schema.

Among others, a schema covering the complete abstract syntax of the Java programming language exists.

Figure 3 shows a small extract of the Java metamodel specifying class members

ClassDefinition
$$\stackrel{IsClassBlockOf}{\rightarrow} Block \stackrel{IsMemberOf}{\rightarrow} Member$$

and the superclass hierarchy

ClassDefinition
$$\xrightarrow{IsSuperClassOfClass}$$
 TypeSpecification

All examples in Section 3 are based on this extract.

Using a custom parser (Baldauf and Vika 2009), Java source code, class files and jar files can be converted into a TGraph conforming to this Java schema. These graphs are subject to advanced analysis and transformation using the query and transformation languages described in the next subsections.

3.2 Query Language: GReQL

For exploring the information stored in the repository, a querying language is needed. *GReQL* (*Graph Repository Query Language*, (Kullbach and Winter 1998; Bildhauer and Ebert 2008)) is a textual, schema-aware querying language for TGraphs. One of the most commonly used language elements is the *from-with-report* (*FWR*) clause. The **from** part is used to declare *variables* and bind them to *domains*. In the **with** part, *constraints* can be imposed on the values of these variables. The **report** part is used to define the structure of the query result.

² http://jgralab.uni-koblenz.de

A sample query for retrieving all super- and subclasses of a class with name HumanResource in a graph conforming to the Java schema in Figure 3 is depicted in Listing 1. In migration projects, such queries help to identify the class hierarchy in object-oriented legacy systems (in Figure 11 this query will be used as one part to identify static dependencies of classes).

```
from e : E{lsSuperClassOfClass}
with startVertex(e).name = "HumanResource" or
    endVertex(e).name = "HumanResource"
report startVertex(e).name, endVertex(e).name
end
```

Listing 1 A GReQL query to find direct superclasses and subclasses

In the **from** part, the variable e is bound to all edges of type lsSuperClassOfClass one after the other (Looking at Figure 3 these edges are modeled by the lsSuperClasOf-Class association between ClassDefinition and TypeSpecification). The constraint defined in the **with** clause requires that the name attribute of the node acting as source or target of such an edge matches the string "HumanResource". The **report** clause defines the structure of the results as tuples where the entries are pairs of the names of the superclass and subclass. For each lsSuperClassOfClass edge which satisfies the constraint, a tuple is added to the result multiset (bag).

One of GReQL's especially powerful features are *regular path expressions*, which can be used to formulate queries that utilize the interconnections between nodes and their structure. Therefore, symbols for edges are introduced: --> and <-- for directed edges and <-> if the direction should not be taken into account and <>-- or --<> for edges modeling containment relationships. Additionally, an edge type written in angle brackets may follow the edge symbol. These symbols can be combined using regular operators: sequence, iteration (* and +), alternative (|) and so on.

Listing 2 shows a query for finding member classes. In migration projects, migrating member classes makes it necessary to identify in which class the member class is nested. In addition, member classes must be migrated in order to preserve functionality. Such a query can help identifying these member classes.

```
1 from o, m : V{ClassDefinition}
```

```
||_2| with o <---{IsClassBlockOf} <---{IsMemberOf} m
```

```
3 reportSet m end
```

Two variables of type ClassDefinition are defined. If the ClassDefinition m is a member of o (e.g. a path like the one depicted in the **with** clause exists), the member class m will be reported. Looking at Figure 3, this path is modeled in the metamodel as follows:

ClassDef. $\stackrel{IsClassBlockOf}{\rightarrow} Block \stackrel{IsMemberOf}{\rightarrow} ClassDef.$

3.3 Transformation Language: GReTL

Up to now, the data structure has been defined by metamodels and information can be retrieved by GReQL queries. Now, a technique to transform (change) models is needed. In migration projects, such transformations play an important role and are used for various activities like

- Reverse engineering (transformation of models into more abstract representations, e.g. code to architectural views)
- Conversion (transformation of source models into different target models, e.g. legacy code into a SOA service)
- Forward engineering (transformation of models into a more detailed representations, e.g. design model into class stubs)

The *GReTL* transformation language (*Graph Repository Transformation Language*) is a Java framework for programming transformations on TGraphs making use of the TGraph related GReQL language (cf. Section 3.2) (Ebert and Horn [To appear]).

Instead of creating a new transformation language including its own syntax from scratch, existing technologies were applied, namely JGraLab's Schema API for describing imperative aspects and GReQL for declarative parts.

The idea of GReTL is to build a target TGraph schema by writing transformation rules as calls to methods provided by the transformation framework. These methods create new elements in the target schema by delegating to methods in JGraLab's Schema API. GReQL queries given as additional parameters in transformation rules specify declaratively which instances of this new type have to be created in the target graph.

An example rule for creating a node class in the target schema and its appropriate instances is depicted in Listing 3.

```
1 from t : V{Type}
2 with t.name =~ '.*[Rr]esource.*'
3 reportSet t end
4 ==> CreateVertexClass uml.Class;
```

Listing 3 GReTL rule for creating a node class and instances thereof

The parameter uml.Class to the transformation operation CreateVertexClass is the fully qualified name of the

Listing 2 A query using regular path expressions to find member classes

new node class to be created in the target schema. The query given before the ==> is a GReQL query, which is evaluated on the source graph and returns the set of Types whose name contains the substring "resource" (specified by a regular expression). These types are used as *archetypes* for the uml. Class nodes that are created in the target graph, i. e., for each of the selected Type nodes, a new uml. Class node is created in the target graph. The mapping of archetypes to the newly created nodes is saved and accessible in further rules. Further methods for creating edge types (including their edge instances), attributes and generalizations between edge and node classes are realized in an analogous manner.

The following section will describe how the TGraph approach introduced in this section is integrated into the overall migration tool set environment.

4 Migration Tool Set Environment

The previous section introduced the implementation of model-driven techniques by the TGraph approach. Combining tools implementing graph schemas as metamodels, GReQL as query language and GReTL as transformation language, the TGraph approach can be used for modeldriven development. This section describes which tools are used in the overall SOA migration environment and how the TGraph tools fit into it.

4.1 Modeling Tools

For modeling, IBM's Rational Software Architect for Web-Sphere Software v7.5.4 (RSA) is used as it supports SOMA by predefined model building-blocks, SOA patterns and a SOA UML profile (IBM Corporation 2009). The RSA is an integrated modeling and development tool supporting modeling with UML 2 and development of Java or C++ applications. It supports various transformations like UML2Java or ServiceSpecification2WSDL.

In the SOA migration project presented in Section 5, the RSA is used for the following tasks:

- Design metamodels for repository (SOMA Solution Management phase): The metamodels are designed as UML class diagrams and exported as XMI files. A tool is then used to create a TGraph schema from that XMI file.
- Model business processes (SOMA Business Modeling phase): The processes are designed as UML activity diagrams, exported as XMI file and then parsed into a TGraph for further exploration.
- Create service design (SOMA Service Identification and Service Specification phases): Using a UML profile for SOAs, the design of the services (service specification,

messages, implementation) is created. As described in Section 5.6, RSA's transformation functionality is used to transform the service specification into WSDL interfaces and the implementation framework into Java stubs.

Generate service framework (SOMA Service Realization, Service Implementation and Service Deployment phases): Using the *WebService Wizard* provided by RSA, the WSDL interfaces and the implementation code are put together and fully functional services are generated.

While covering all modeling aspects with the RSA, additional tools are needed to handle legacy code. The following subsection describes legacy code parsers.

4.2 Legacy Code Parsers

In model-driven development, parsers play an important role. All kinds of artifacts are parsed and stored in a repository. For integrated analyses, legacy systems are parsed into a TGraph representation (model). For Java systems, the tool *GraBaJa (Graph-Based Java, (Baldauf and Vika 2009))* is used. GraBaJa is a Java API providing

- a Java 6 metamodel,
- a Java parser and
- a Java code generator.

The tool is used to parse Java legacy systems into a TGraph conforming to the Java 6 metamodel. In addition, a transformed Java TGraph can be parsed back into Java using GraBaJa's code generator.

Within the SOAMIG project, pro et con GmbH is developing further industrial strength parser frontends for Java 6 and Cobol to be integrated to TGraph based software evolution activities (Zimmermann et al 2010).

4.3 Tool Set-Up for Dynamic Analysis

In model-driven migration projects, many models are created, e.g. business process models, legacy architecture models or legacy code models. Relations between model elements – e.g. which code is executed during what business process – can hardly be identified by static analysis. Therefore, we use dynamic analysis in SOA migration projects to find relations between models and integrate them into the repository.

Dynamic analysis approaches execute a predefined scenario on a software system. The system under analysis is extended by functionality to trace which parts of the software are executed during this scenario (e.g. all method calls and returns are logged). This results in a log file describing which methods have been called during the scenario. This



Fig. 4 Tool Set-Up for Dynamic Analysis

information can be used for further analysis like exploring dynamic call dependencies.

In SOA migration, useful scenarios are naturally given by the business processes. As SOAs are tightly related to these processes, they are suited for dynamic analysis. In this paper, dynamic analysis using the workflow of business processes is used to

- verify that business processes are supported by the legacy system (Service Identification, see Section 5.3.2) and
- 2. identify legacy code that is able to support business processes and therefore could be used to implement a service (Service Realization, see Section 5.5.2).

The tool environment shown in Figure 4 has been set up to run these analyses (Fuhr et al 2010a). The dynamic analysis is split into two parts:

- the definition of a storyboard for the scenario and
- the execution of the scenario on the legacy system.

First, the business processes (which have been captured, modeled and parsed into the repository) are loaded into the *Business Process Tracer (BPT)*. The BPT (1) allows to navigate through all processes and visualize each process as UML activity diagram. Selecting a business process, a user gets displayed a storyboard for one dynamic analysis run. Following this storyboard, the user can then perform each business process step on the legacy system. Using the BPT, he can tell when each step starts and ends. This information is sent to a *log server* (3), tracing start and end of each step.

Second, the legacy system needs functionality to trace which code is executed during the scenario (2). In order to keep the legacy system as much unchanged as possible, we decided to integrate this tracing functionality by using aspects. The aspect hooks into each method call and return and logs each call and return to the log server. As dynamic analysis produces a vast amount of tracing information, first filtering mechanisms – e.g. to filter out calls to GUI-related methods – can be established in this aspect, too. Summarizing, when running a dynamic analysis, a user executes a given business process on the legacy system while logging when each step of the process starts and ends. Meanwhile, the legacy system logs which code is executed during the scenario. All information is send to the central log server and stored as log file.

After finishing the dynamic analysis, the log files are post-processed by the *Trace Analyzer* (4). The Trace Analyzer has two jobs:

- create trace links between methods and process steps and
- extract the real workflow of business processes.

First, the Trace Analyzer creates for each method that has been called during a business process step a trace link between this method and the process step. After this analysis, the repository has been extended by trace links telling which methods have been executed during each business process step. This information will be used in Section 5.5.2 to identify code that is able to implement a service.

Second, an instance of the real workflow of the business process is stored back to the repository. We discovered that business processes are often not executed as strictly as they have been modeled. For this reason, the BPT-GUI does not enforce the modeled ordering of the process steps. An alternative execution of a process is stored to the repository as additional workflow for this process. This information will be used in Section 5.3.2 to verify the business process model.

Merging this development environment and the TGraph approach with SOMA provides a comprehensive technique for SOA migrations. The following section describes how this technique is applied on extracting three services from GanttProject, a Java tool used for project management.

5 Merging SOMA and Model-Driven Approaches

The previous sections motivated the need of extending SOMA for reusing legacy software assets in software migration and shortly presented graph-based modeling, analysis and transformation techniques including tool support. The migration approach resulting in the extension of SOMA by TGraph-based techniques is applied to identify services from legacy code to support specification and realization decisions and to transform legacy code into service implementations.

In the following subsections, an integrated SOMA and TGraph-based reverse-engineering and transformation is applied to the migration of GanttProject into a Service-Oriented Architecture (Fuhr 2009). GanttProject (GanttProject 2009) is a project planning tool. It manages project resources and tasks and displays project schedules as Gantt charts. GanttProject is a Java system containing about 1200



Fig. 5 The Resource Management business process

classes. The required migration is exemplified by identifying and migrating three services to *manage project resources* by transforming legacy code.

5.1 Business Modeling

During the first phase in SOMA, the current state of the company is analyzed. Because services are tightly related to business processes, this phase establishes an important basis to identify which services are needed. One core result of this phase is the business model.

In this example, two project mangers have been interviewed to gather business processes for project management. One of the captured processes has been identified as core business process in project management: defining what resources are available to get work done.

Figure 5 shows the workflow of the Resource Management business process. First, general data (e.g. name, telephone or e-mail address) about a resource is entered during the ManageResource step. Next, the role of a resource during the project – e.g. developer, tester or manager – is defined (ManageRole). If a resource is on holiday during the project, the time that the resource is not available can be specified in an optional step (ManageHoliday).

For industrial projects business modeling is far more complex. Experiences during the SOAMIG project showed, that finding the right granularity in the description of business processes to be able to model services supporting them is one of the main difficulties. As a lesson learned, a service designer should be involved during this phase. At the end of this phase, a business process model has been created describing the business process as activity diagram. In the remaining phases, this Resource Management business process is used as continuous example. It will be described how to identify and implement services supporting this business process using SOMA and the TGraph approach.

5.2 Solution Management

Solution Management adapts the SOMA method to the current project needs. Extending SOMA by model-driven techniques to support software migration – as depicted in this paper – is located in this SOMA phase. This includes adapting the used tools (cf. Section 4) and techniques. To allow detailed analyses of the legacy system, it is necessary to store all information (e.g. legacy code, legacy architecture descriptions or business processes) in an integrated data structure, the *repository*. Therefore, the metamodel of the repository must support all languages used for modeling these artifacts.

In this example, the Java sources of GanttProject and the business processes modeled as activity diagrams in Section 5.1 are to be stored in such a repository. The metamodel of the repository therefore has to support Java syntax and UML activity diagrams. The Java 6 part of our metamodel contains about 90 vertex and 160 edge types and covers the complete Java syntax. The activity diagram part contains 16 vertex and 14 edge types and covers the full UML 2.1 activity diagram specification. Storing all information in an integrated data structure will later allow to add traces between the two domains and perform analyses over all data.

The GanttProject sources are parsed according to that metamodel. The activity diagram in Figure 5 is exported as XMI and then parsed into the same graph, resulting in a graph of 171198 nodes and 239359 edges.

After this phase, an integrated repository exists, containing a TGraph representation of the GanttProject Java sources as well as the Resource Management business process modeled during Business Modeling (see Section 5.1). This graph and the implicit knowledge on resource management will provide the foundation for service identification, service specification, service realization and service implementation.

5.3 Service Identification

Service Identification explores all information available to find all services a customer needs to perform his tasks. As a reminder, services are coarse-grained, loosely-coupled and business-aligned software components. Sources to identify

```
from t: V{Type}
  with t name =~
                     * [Rr] esource * '
  reportSet t end
  ==> <u>CreateVertexClass</u> uml. Class;
  from t: keySet(img_uml$Class)
  report Map t, t name end
  ==> <u>CreateAttribute</u> uml Class name : String;
  from c: keySet(img uml$Class),
        c2: keySet(img_uml$Class)
11
  with c <---{IsBlockOf} <---{IsMemberOf}</pre>
12
          <---{^lsBreakTargetOf,
13
           lsContinueTargetOf,
               ^lsTypeDefinitionOf , ^lsC|assB|ockOf
14
               ^lsInterfaceBlockOf}*
15
          [<--{lsTypeDefinitionOf}]</pre>
                                       с2
16
  reportSet tup(c, c2), c, c2 end
17
  ==> <u>CreateEdgeClass</u> uml Association
18
           from uml. Class to uml. Class;
19
20
  from c: keySet(img uml$Class),
21
        c2: keySet(img_uml$Class)
22
23
  with c (<--{IsSuperC|assOf}) < --{
   lsInterfaceOfClass})
           <---{IsTypeDefinitionOf} c2
24
25
  reportSet tup(c, c2), c, c2 end
  ==> <u>CreateEdgeClass</u> uml.lsA
26
27
           from uml. Class to uml. Class;
```

Listing 4 Simplified GReTL transformation from Java to UML

services from are business processes and goals as well as legacy systems.

5.3.1 Service Identification based on Legacy Analysis

The identification of services from legacy systems requires a coarse-grained analysis. The graphical user interface of GanttProject is explored first and functionality to manage *project resources* is identified as one main feature of the software. Looking at the legacy code identifies the functionality providing the management of project resources.

Identifying functionality in legacy code is a challenging task and still an open research issue (Kontogiannis et al 2007). In our approach, GReQL queries are used to identify this functionality in the GanttProject-TGraph and a corresponding GReTL transformation visualizes the query result. String search on TGraphs is used to detect possible code areas referring to "resources" and further interconnections of code objects are specified by regular path expressions. The resulting subgraph is transformed by GReTL into a TGraph conforming to a simple UML schema. Further XMI-based filters (cf. (Ebert and Winter 2006)) are used to render these structures in UML tools.

Listing 4 shows a GReTL transformation supporting coarse-grained legacy code analysis. For each legacy class or interface whose name contains "resource" (line 5), this



Fig. 6 Visualization of classes and interfaces possibly providing functionality to manage resources

transformation creates one UML class node in the target TGraph. In addition, associations are drawn between those class nodes whenever one node uses (e.g. by method calls or variable types) another node (lines 11-16). Inheritance between types is represented by "IsA" edges (lines 17-22). For interfaces and abstract Java classes, their UML class counterparts are marked by appropriate attributes.

Of course, such queries are project specific and must be developed in the beginning of a project. However, once developed, they can be reused in the remaining project. The generic SOAMIG process model (Zillmann et al 2011) comprises a Conceptualization phase, which identifies automatization options and provides reusable analysis and transformation techniques for recurring activities within the migration project.

Looking at the visualized result of this GReTL transformation shown in Figure 6, the class HumanResourceManager (marked with gray background) implementing the interface ResourceManager can be identified as functionality to manage project resources. Based on this information, an initial service specification for the service candidate IResourceManagement is created and traces to the legacy code are noted.

5.3.2 Service Identification based on Domain Decomposition

In addition to exploring the legacy system, the business model is analyzed to identify services. Based on the assumption that each business process should be supported by a service (Arsanjani et al 2008), business processes are analyzed to identify service candidates during *Domain Decomposition*.



Fig. 7 Three initial services (in UML modeled as stereotyped interface) identified from legacy code and business model

The Resource Management business process in Figure 5 indicates that managing a resource may include managing its role and its holidays. Therefore, two additional service specifications are added to the initial service model shown in Figure 7. In this phase, no further information about the method signatures of the initial service specification is gathered. Method signatures and messages are added in the next increment of the service design, during Service Specification.

In order to verify that the legacy system really does support the two business processes (and is therefore suited for reusing functionality to implement the services), the dynamic analysis set-up described in Section 4.3 is used to explore which processes are supported by GanttProject. Figure 8 shows the Business Process Tracer tool, visualizing the Resource Management business process. While logging when each step of the process begins and ends, the process is executed on GanttProject. GanttProject has been extended by an AspectJ aspect logging each method call and return. All information is sent to a central log server.

After the analysis, a Trace Analyzer processes the trace files to perform two tasks:

- 1. Create real workflow of the process
- 2. Map legacy code to business processes

Establishing the real workflow of the business processes gives hints where the business process model might have to be revised. The mapping between legacy code and business processes indicates if a process is supported by GanttProject or not: If any code is mapped to a process, it is supported by GanttProject. In addition, the mapping between legacy code and business processes will later be used to find code that is able to implement services, as will be described in Section 5.5.2.

At the end of this phase, three service candidates have been identified from legacy code and from the business pro-



Fig. 8 The BPT tool used to trace the execution of the Resource Management business process

cess model: The IResourceManagement service has been derived from legacy code and the IHoliday and IRole services have been derived from the business process model. Traces to their sources of identification have been noted, too. In the following SOMA phases, the services are specified in more detail.

5.4 Service Specification

During Service Specification, the initial service specifications are refined. A *service provider* component is created which will later implement the service specification. In addition, message flows are created to enable communication with services. The goal of this phase is to create an comprehensive service design specifying all aspects of the external view on the service (i.e. how a service is seen by consumers). Implementation details will be designed later, during Section 5.5.

In this example, for *method parameters* in the legacy interface, *request messages* are created that are passed to the service. For *return types* in the legacy system, *response messages* are defined that will be returned by the new service. Request and response messages can be derived from legacy code. Listing 5 shows a GReQL query taking an interface or class name as input and returning method parameters (lines 3-11) and return types (lines 12-20) as output. This information is used to derive message parameter types from legacy code.

```
let classname := "HumanResourceManager" in
  tup (
    from hrmClass : V{ClassDefinition},
         usedType : V{Type, BuiltInType}
    with hrmClass.name = classname
    and hrmClass <---{IsClassBlockOf}<--{
     IsMemberOf}
      <---{IsParameterOfMethod} <---{
       IsTypeOfParameter}
      [<--{IsTypeDefinitionOf}] usedType</pre>
    reportSet (hasType(usedType, "BuiltInType"))
      used Type type
10
      theElement(usedType<---&{Identifier}).name
11
       end,
    from hrmClass : V{ClassDefinition},
12
         usedType : V{Type, BuiltInType}
13
     with hrmClass.name = classname
14
     and hrmClass <---{IsClassBlockOf} <---{
15
      IsMemberOf }
         --{lsReturnTypeOf} [<---{
16
        IsTypeDefinitionOf }]
       usedType
17
     reportSet (hasType(usedType, "BuiltInType")
18
      ) ?
19
       used Type type
       theElement(usedType<---&{Identifier}).name
20
         end)
```

Listing 5 GReQL query retrieving method parameters and return types for message specification

Figure 9 shows the refined specification for the IResourceManagement service. This service is a composite service (i.e. it uses other services to provide its functionality). The composite service specification (RscMgmtCompServSpec) implements the service specification and uses the two services IHoliday and IRole. The service specifications of the three services now contain information about parameters. In addition, messages for service communication and parameter types (*Entity) for these messages have been defined. For the HumanResourceEntity, the parameter type has been derived from legacy code.

In addition, the services are composed in this phase. Figure 10 shows the composition of the IResourceManagement, IRole and IHoliday services. The upper part contains the service consumer which is the corresponding business process (ResourceMangementProcess). This consumer component will later use the functionality of the IResource-Management service. The IResourceManagement service is a composite service using the IRole and IHoliday services. All components are connected by *service channels* which will later be used to send messages between the services.

At the end of this phase, the service design is mostly completed. The service specification now contains all service operations and their parameters. Messages and message parameter types have been specified. In addition, the composition of the services has been defined. The next step is now to decide how the services are implemented.

5.5 Service Realization

The first decision to be made during Service Realization is how to implement services. Model transformation approaches are also suited for code transformation. Thus, the legacy code is transformed into a service implementation to provide the business functionality. If service realization by wrapping is decided, wrappers can be generated analogously.

Identifying which code is able to implement the business functionality of a service is one key challenge in migrating legacy systems towards SOAs. In this case study, two different but complementary approaches are presented: static analysis and dynamic analysis.

5.5.1 Identifying Code by Static Analysis

For the core functionality of the IResourceManagement service, Service Identification already identified one class in the legacy code that may provide functionality to the service: the HumanResourceManager class (short: HRM). Looking at the source code manually, this class seems to provide functionality to add, retrieve and delete resources. Therefore, this class is suited to implement one core part of the service.

Now, the complete but minimal code realizing this functionality has to be determined and transformed into executable code. Slicing these code fragments also requires to consider dependencies of HRM.

Listing 6 describes the GReQL query retrieving these static dependencies. It returns a list of all classes and interfaces that HRM depends on. The path expressions in this query retrieve classes and interfaces needed with respect to the following dependencies:

- method invocations (line 8)
- method parameters (line 9)
- local variables (line 10)
- return types (line 11)
- fields (line 15)
- super classes or implemented interfaces (line 16)
- member classes (line 17)

Such complex queries require an understanding of GReQL and a solid knowledge about the repository-schema. However, once created, they can be reused in the remaining project.

While the GReQL query returns a set of qualified typenames, Figure 11 shows a (manually created) visualization of this query result. Using these classes to implement the service provides functionality to add, retrieve and remove resources.



Fig. 9 Detailed design of the IResourceManagement service



Listing 6 GReQL query retrieving dependencies



Fig. 10 Composition of the three services

5.5.2 Identifying Code by Dynamic Analysis

In addition to adding, retrieving and removing resources, the IResourceManagement service also provides operations to add roles and holidays. This functionality is provided by the IRole and IHoliday services. Both services need legacy code to implement the business functionality, too. In this example, a dynamic approach is used to map legacy code to these two services.

The dynamic analysis set-up described in Section 4.3 is used to identify legacy code that is able to implement each of the three services. The dynamic analysis had already been executed during Service Identification (cf. Section 5.3.2). Now, the tracing information that had been derived from the log files and stored to the repository is processed by further analysis techniques to identify code to implement the services according to exemplary runs of GanttProject. In this example, it is computed for each class how often a method of this class is called in each business process step. Each class is allocated to the process in which its methods are called most often.

Table 1 shows classes and their mapping to a process after filtering out GUI classes (e.g. panels, actions or renderer). The business process column names the process step in which a method of the class was called most often. The significance value (Sig.) is the percentage how often a method of the class was called in this process in contrast to all calls. The three remaining columns (#Resource, #Holiday and #Role) stand for the total number of occurrences in each process.

The values can be interpreted as follows: Classes with a high significance value (> 0,5, marked bold in the table) can be allocated clearly to the named process as they are mostly used during the corresponding business process step. So the class GanttDaysOff is only used in the ManageHoliday business process and should therefore be allocated to the lHoliday service. Classes like HumanResource, HumanResourceManager and ProjectResource are called most often in the Manage Resource process and should be allocated to the IResourceManagement service (that matches the result of the static analysis in Section 5.5.1). In addition, the Role-ManagerImpl class should be allocated to the IRole service.

However, some classes have quite low significance values ($\leq 0, 5$). They are used in each process similarly. This indicates that these classes are some kind of helper classes used in all processes. In addition, the three classes with italic class names (Rolelmpl, RoleManager.Access and RoleSetImpl) seem to be mis-allocated. Their name suggests that they should be allocated to the IRole service. Instead, they are mapped to the IResourceManagement service. As they are called in these two processes the same number of times, this is a hint that these both processes may not be separated well in the legacy code.

Taking the results of this analysis gives a first insight where to look in the legacy code to find a service implementation. Manually exploring the legacy code confirms the results of the dynamic analysis. The class GanttDaysOff is suited to implement the business functionality of the IHoliday service and the class RoleManagerImpl can implement the IRole service.

5.5.3 Integrating Business Functionality into Service Design

Summarizing, the static and dynamic analyses gave useful hints to developers where to look in the legacy system to find code that is able to implement the business functionality of the three services. Next, the business functionality must be integrated into the overall service design. This is done according to the patterns proposed by Wahli (Wahli 2007).

Figure 12 shows the application of these patterns to create a framework to integrate the legacy code which will be transformed in the next phase. The *service component* ResourceManagerSC implements the service specification. A facade pattern is used to implement the service component. The facade class delegates service requests to the appropriate service implementation, in this example the HRM class, and all its dependencies revealed by the GReQL query. The IRole and IHoliday services are designed in a similar manner.

After accomplishing this phase, the service design contains a complete specification about how to implement the services by legacy code. The next step is to implement this design and to transform the legacy code into a service implementation.

5.6 Service Implementation

During Service Implementation, the services are implemented, e.g. as Web Services (as is supposed by SOMA).



Fig. 11 Service Realization: Dependencies of HRM class

Qualified Class Name	Business Process	Sig.	#Resource	#Holiday	#Role
net.sourceforge.ganttproject.calendar.GanttDaysOff	ManageHoliday	1,00	0	2	0
net.sourceforge.ganttproject.language.GanttLanguage	ManageHoliday	0,39	5	7	6
net.sourceforge.ganttproject.resource.LoadDistribution	ManageHoliday	0,46	4	6	3
net.sourceforge.ganttproject.resource.LoadDistribution.Load	ManageHoliday	1,00	0	1	0
net.sourceforge.ganttproject.resource.HumanResource	ManageResource	0,86	19	2	1
net.sourceforge.ganttproject.resource.HumanResourceManager	ManageResource	0,73	11	3	1
net.sourceforge.ganttproject.resource.ProjectResource	ManageResource	0,63	12	4	3
net.sourceforge.ganttproject.resource.ResourceColumn	ManageResource	0,40	2	2	1
net.sourceforge.ganttproject.resource.ResourceEvent	ManageResource	0,50	1	1	0
net.sourceforge.ganttproject.resource.ResourceNode	ManageResource	0,38	5	4	4
net.sourceforge.ganttproject.roles.RoleImpl	ManageResource	0,40	4	4	2
net.sourceforge.ganttproject.roles.RoleManager.Access	ManageResource	0,33	1	1	1
net.sourceforge.ganttproject.roles.RoleSetImpl	ManageResource	0,38	5	4	4
net.sourceforge.ganttproject.roles.RoleManagerImpl	ManageRole	0,64	2	3	9

Table 1 Result of dynamic analysis



Fig. 12 Implementation design of IResourceManagement service

Migrating identified source code (cf. Section 5.5) to realize the three services combines functionality provided by the IBM Rational Software Architect for WebSphere Software $V7.5.4^3$ (RSA) and TGraph technology.

First, the code generation capabilities of the RSA are used to create WSDL code (interface description language for Web Services) from the service specifications. WSDL is later used to specify the service interfaces. Next, the design of the service framework (UML diagram in Figure 12 which includes service component, facade pattern and facade interface) are transformed into Java.

So far, the service implementation lacks of business functionality, which will be added by transforming legacy code into a service implementation. The GReQL query described in Listing 6 (Section 5.5) is used to mark the HRM

³ IBM, Rational and WebSphere are trademarks of International Business Machines Corporation.

class and all legacy software components it depends on. The Java code-generator of GraBaJa is used to generate Java code for all marked classes of the TGraph. This results in Java classes implementing the business functionality of the IResourceManagement service. These classes are connected to the service framework. For this purpose, the facade class must be edited manually to delegate service requests to the HRM class. In addition, the facade class translates *message parameters* into *objects* known by the HRM class.

For the IRole and IHoliday services, the classes RoleManagerImpl, GanttDaysOff and their static dependencies are migrated the same way.

Finally, the *Web Service Wizard* of RSA is used to generate fully functional Web Services. This wizard takes the WSDL interface descriptions and the Java classes of the service frameworks and the service implementations and creates a Java EE Web Service implementation for each of the three services.

5.7 Service Deployment

The Web Services created in the last subsection are deployed to the customer. This step concludes the migration.

6 Related Work

Whereas a plethora on publication on the development of Service-Oriented Architectures exists, migrating legacy systems to SOA is only addressed in a few papers. The SMART approach Smith (2007) deals with the planning of SOA migration projects, but does not provide concrete migration or migration tool support. Correia et al (2007) and Fleurey et al (2007) describe general approaches of model-driven migration into a new technology not especially focused on SOA. Matos (2008) describe a graph-based approach which mentions SOA as possible target architecture. In contrast to SOAMIG, this approach focuses on annotating functionality in legacy code instead of directly identifying services from source code. Marchetto and Ricca (2008) propose an approach to migrate legacy systems into a SOA, step by step. However, this approach does not focus on model-driven techniques and uses wrapping as general migration strategy. Another approach focusing on wrapping is described in Gimnich (2007). Razavian et al (2010) describe a first idea of an approach to enable pre-existing assets for SOAs. Up to date, this approach lacks of tool support.

In contrast to these approaches, the work presented here provides a coherent model-driven approach to software migration by integrating an established SOA forwardengineering approach with graph-based reengineering technologies. In addition, in SOAMIG software systems are viewed at all levels of abstraction including business processes and code.

7 Conclusion and Future Work

In this paper, we described a model-driven approach to migrate legacy systems, extending IBM's SOMA method. The approach was applied to the migration of functionality of GanttProject towards a Service-Oriented Architecture. This example demonstrated the identification and specification of services by analyzing legacy code, the identification of responsible functionality in legacy code and the transformation of legacy code into service implementations. As result, fully functional Web Services were generated whose business functionality where implemented by transforming legacy code.

The example presented in this paper is a first technical proof-of-concept of a general, model-driven migration strategy. As part of the SOAMIG project, this first approach has been leveraged to an own SOA migration process (Zillmann et al 2011) addressing the migration of industrial-scaled legacy systems to SOAs. The process is there applied to the migration of a monolithic Java system towards a serviceoriented system. First results in SOAMIG indicate a general suitability for the approach in industrial-scaled applications. However, a detailed evaluation of the approach must be left open in this paper, as the SOAMIG project is still running.

Up to now, the TGraph approach has turned out to be a powerful tool for model-driven migration towards SOAs. Except for needing to learn the querying and transformation languages (which is the case for other model-driven approaches, too), the approach has successfully been used to support migration activities. In addition, using the TGraph approach will enable the leveraging of several techniques used in this proof of concept. E.g. the string-based service identification technique (which fails when source code does not follow some naming conventions) will be replaced by a more powerful approach in future research.

Another issue is the application of the approach on systems that are not written in Java. In addition to plain architectural migrations as presented in this example, languages (e.g. COBOL \rightarrow Java) must be migrated in language migration projects, too. All TGraph techniques explained in this paper are generic and will work for other languages if metamodels and suitable extractors are provided. Currently, a metamodel for COBOL is being developed and will enable our approach to cope with legacy COBOL systems as soon as it is finished.

In contrast to "transformation capabilities" of modern tools like IBM's Rational Software Architect or Borland's Together Architect, the TGraph approach offers an integrated view on all models and allows to process all needed queries on one repository. This enables the creation of a single homogeneous workflow instead of handling different types of results from different sources leading to compatibility issues.

As many companies are currently implementing or plan to implement SOAs – all of them having legacy systems already running, the approach presented in this paper has much potential to support theses companies in migrating their legacy systems towards SOAs. As a process of ongoing research, the approach will be tested and adapted in industrial-scaled projects in future.

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